



**Office of Enterprise Compliance Report - Matrix
December 2007**

Attachment A

	DATE OF ASSIGNMENT	STATUS
1. Personnel Update	Ongoing	Recruitment for CAM Investments.
2. Program Activities	Ongoing	CCO conducted one session of New Employee Orientation, CalPERS "Working Values" this month.
3. Reporting Non-Compliance Issues	Ongoing	Ongoing.
4. Enterprise Compliance Risk Assessment	Ongoing	Analysis and executive level summary of division's compliance self-assessments being performed by ECOM.
5. Compliance Area Manager-Investments	Ongoing	<p>Compliance Area Manager (CAM), Investments is monitoring daily and providing weekly summary of compliance alerts to CCO. User access to automated systems monitored and monthly reconciliation reports reviewed.</p> <p>Compliance Area Analyst for Investments has completed training and will provide monitoring coverage and reporting to CCO.</p>



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6. Compliance Area Manager-Health	Ongoing	Work with Health business line to assess risk of non-compliance with policies, rules, and regulations to determine compliance exposures and impact to CalPERS. Appointment of CAM Health.
7. Compliance Area Manager- Pension	Ongoing	Work with Pension business line to assess risk of non-compliance with policies, rules, and regulations to determine compliance exposures and impact to CalPERS. Identified "Best Practice" internet research tool (Research Institute of America) to provide daily updates on federal and state legislation and regulations.